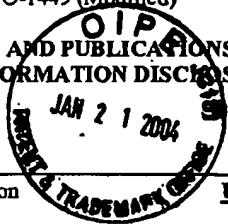


In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT



Serial No.: 10/645,022
Applicants: Kwok Ng et al.
Filing Date: August 21, 2003
Group: 1753
Atty. Docket No.: 12179-P112US

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ADF AAA	6,049,090	4/11/00	Clark, Jr.	257	13	
ADF ABA	5,720,827	2/24/98	Simmons	136	250	
ADF ACA	5,986,206	11/16/99	Kambe et al.	136	263	
ADF ADA	2002/0075126	6/20/02	Reitz et al.	338	21	10/03/01
ADF AEA	6,515,314	2/4/03	Duggal et al.	257	184	
AFA						
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AOA						
APA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
ADF AQA	WO 99/25028	5/20/99	PCT			X
ARA						
ASA						
ATA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

ADF AUA J. Mira et al., "Dynamic Magnetic Behaviour of Interacting γ -Fe₂O₃ Nanoparticles Dispersed in Epoxy Resin," *IEEE Transactions on Magnetics*, Vol. 33, No. 5, September 1997, pp. 3724-3726.

AVA

AWA

AXA

Examiner:

/Anthony Fick/ *ADF*

Date Considered: 12/22/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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AOA						
APA						

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ADF AUA Erica H. Tadd et al., "Self-Assembly of Metal Nanoclusters in Block Co-Polymers," *Mat. Res. Soc. Symp. Proc.*, Vol. 703, 2002, Materials Research Society, pp.V2.1.1-V2.1.10.

ADF AVA M. Samy El-Shall, "Synthesis of Semiconductor and Metal Oxide Nanoparticles by Laser Vaporization-Controlled Condensation Techniques," available via the Internet at <http://www.foresight.org/Conferences/MNT6/Abstracts/El-Shall/>, printed 4/18/2002, pp. 1-3.

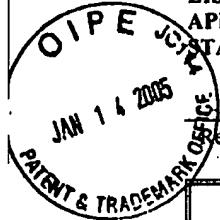
Examiner: /Anthony Fick/ ADF Date Considered: 12/22/2006

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

ADF AUA M. V. Wolkin, "Electronic States and Luminescence in Porous Silicon Quantum Dots: The Role of Oxygen," *Physical Review Letters*, Vol. 82, No. 1, January 4, 1999, pp. 197-200.

ADF AVA Robert F. Mulligan et al., "Synthesis of Self-Assembled Metal-Oxide Nanostructures in a Diblock Copolymer Matrix and Integration onto Semiconductor Surfaces," *Materials Research Society*, Vol. 642, 2001, pp. J2.11.1 - J2.11.5.

Examiner: /Anthony Fick/ *ADF* Date Considered: 12/22/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Serial No.: 10/645,022

Applicants: Kwok Ng et al.

Filing Date: August 21, 2003

Group: To Be Assigned

Atty. Docket No.: 12179-P112US

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AOA						
APA						
AQA						
ARA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

ADF

ASA Delia J. Milliron et al. "Designed for Charge Transfer: Complexes of CdSe Nanocrystals and Oligothiophenes," Mat. Res. Soc. Symp. Proc. Vol. 725, pp. 177-178.

ADF

ATA V. Aroutiounian et al. "Quantum Dot Solar Cells," 2 pages.

ADF

AUA E. Kymakis et al. "Single-Wall Carbon Nanotube/Conjugated Polymer Photovoltaic Devices," *Applied Physics Letters*, Vol. 80, No. 1, January 7, 2002, pp. 112-114.

Examiner: /Anthony Fick/ ADF Date Considered: 12/22/2006

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